Europe as a Global Actor

International Dimension of Horizon 2020 and Research Opportunities with Third Countries

The European Union

500 million people - 28 countries - a single market*

- 7% of the World's population
- 24% of world expenditure on research
- 32% of high-impact publications
- 32% of patent applications

*Free movement of people, goods, services and capital

Europa 2020 – 5 targets

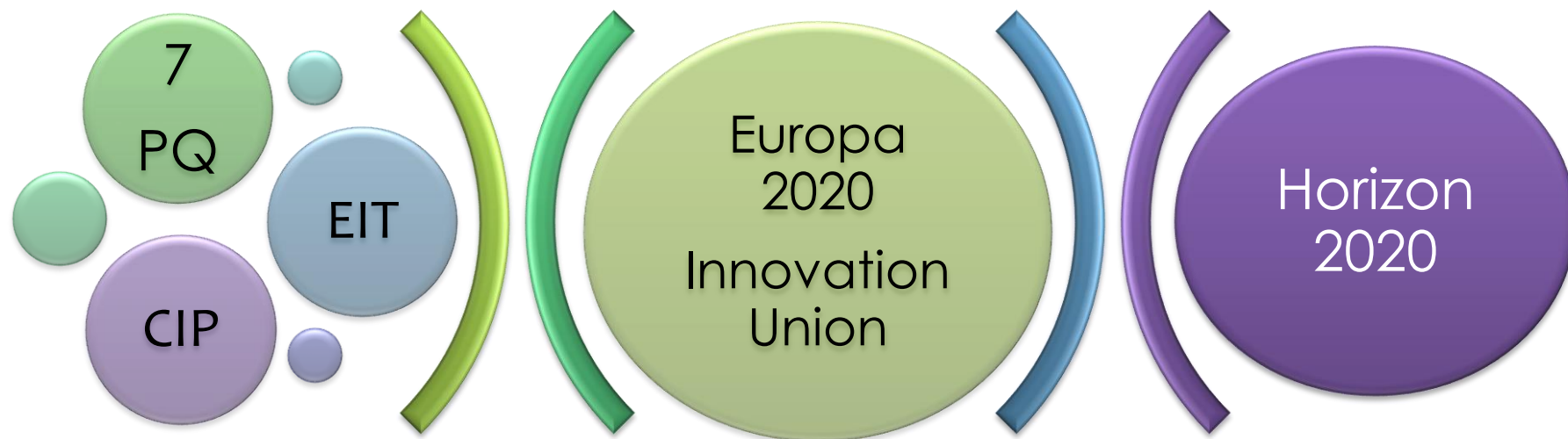|	
EMPLOYMENT	75% of the population aged 20-64 should be employed
R & INNOVATION	**3% of the EU's GDP to be invested in R&D**
CLIMATE/ ENERGY	A reduction of CO_2 emissions by 20%
	A share of renewable energies up to 20%
	An increase in energy efficiency by 20%
EDUCATION	The share of early school leavers should be under 10%
	At least 40% of the younger generation should have a degree or diploma
POVERTY	20 million fewer people should be at risk of poverty

7 "Flagship Initiatives"

Smart Growth	Sustainable Growth	Inclusive Growth
Innovation « **Innovation Union** »	**Climate, energy and mobility** « Resource efficient Europe »	**Employment and skills** « An agenda for new skills and jobs »
Education « Youth on the move » **Digital society** « A digital agenda for Europe »	**Competitiveness** « An industrial policy for the globalisation era »	**Fighting poverty** « European platform against poverty »

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WHAT IS HORIZON 2020?

- A €80 billion research and innovation funding program (2014 -2020)

- A response to economic crisis by investing in future jobs and growth

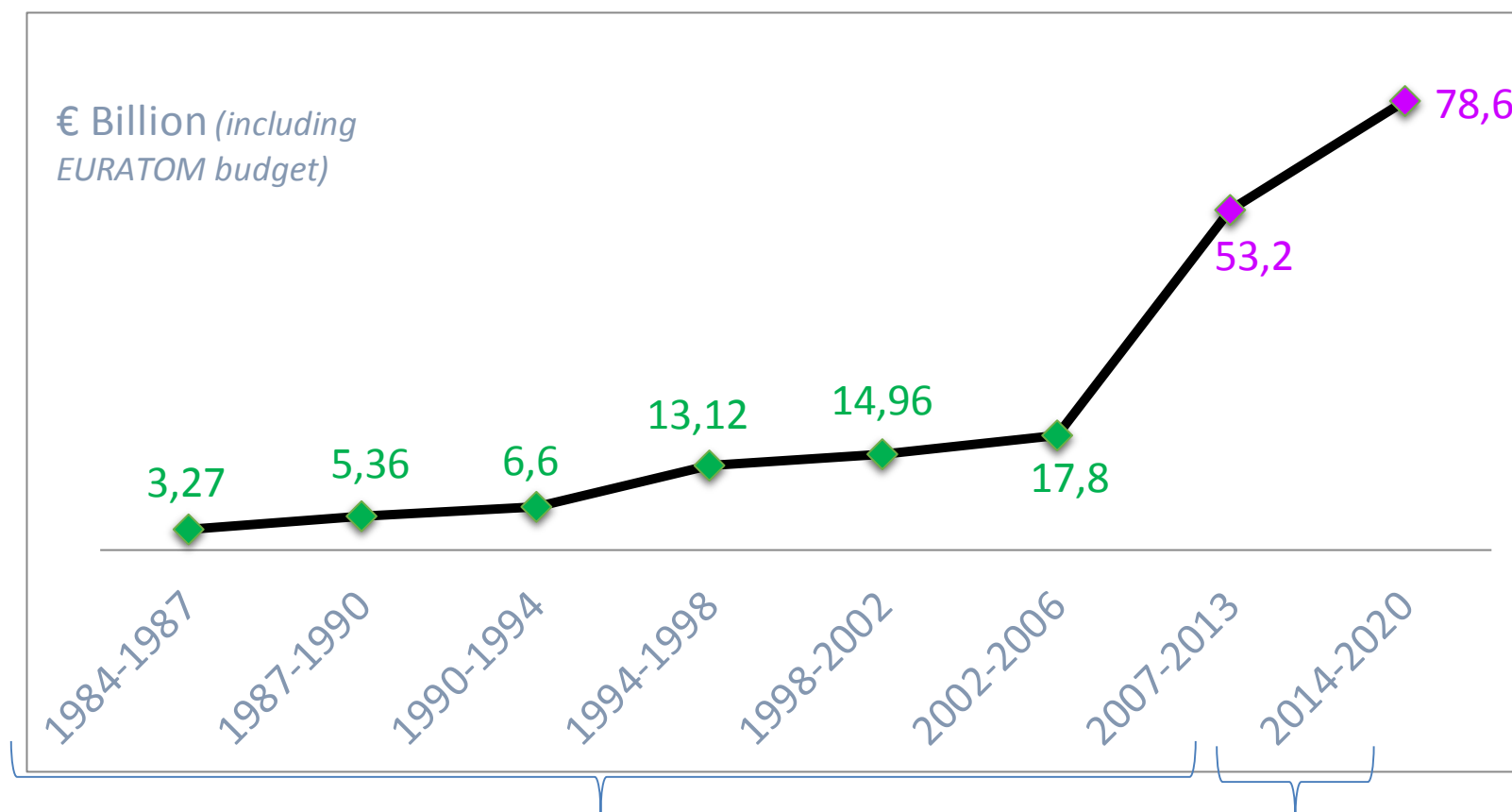- It addresses people's concerns about their livelihoods, safety and environment

THE EU FRAMEWORK PROGRAMME FOR RESEARCH AND INNOVATION

HORIZON 2020

Open to the world

Research and Innovation

The way to Horizon 2020

7 PQ

CIP

EIT

Europa 2020

Innovation Union

Horizon 2020

2007 - 2013

SEVENTH FRAMEWORK PROGRAMME

2014 - 2020

THE FRAMEWORK PROGRAMME FOR RESEARCH AND INNOVATION

HORIZON 2020

Budget

€ Billion *(including EURATOM budget)*

3,27 — 1984-1987
5,36 — 1987-1990
6,6 — 1990-1994
13,12 — 1994-1998
14,96 — 1998-2002
17,8 — 2002-2006
53,2 — 2007-2013
78,6 — 2014-2020

Framework Programmes

H2020

- ■ Framework Programmes 4 years
- ■ Framework Programmes 7 years

Horizon 2020

A single programme

- **Coupling research with innovation:** 'from lab to market'

- **Focus on societal challenges**: health, clean energy, transport, etc.

- **Open to participation:** companies, universities, institutes in EU and beyond

H2020 Structure

Excellent Science

- **European Research Council**
  - Frontier research by the best individual teams

- **Future and Emerging Technologies**
  - Collaborative research to open new fields of innovation

- **Marie Skłodowska Curie actions**
  - Opportunities for training and career development

- **Research infrastructures** (including e-infrastructure)
  - Ensuring access to world-class facilities

Industrial Technologies

- **Leadership in enabling and industrial technologies**
  - ICT, nanotechnologies, materials, biotechnology, manufacturing, space

- **Access to risk finance**
  - Leveraging private finance and venture capital for research and innovation

- **Innovation in SMEs**
  - Fostering all forms of innovation in all types of SMEs

Societal Challenges

- **Health, demographic change and wellbeing**

- **Food security, sustainable agriculture, marine and maritime research & the bioeconomy**

- **Secure, clean and efficient energy**

- **Smart, green and integrated transport**

- **Climate action, environment resource efficiency and raw materials**

- **Inclusive, innovative and reflective societies**

- **Security society**

European Institute of Innovation and Technology (EIT)

Spreading Excellence and Widening Participation

Science with and for society

Joint Research Center (JRC)

2013

Supporting our excellent science base

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Excellent Science

T- o support the best ideas and developing skills
- To make Europe attractive for researchers from all over the world.
- Access to the best infrastructures

European Research Council: to provide attractive, long-term funding to support excellent investigators and their research teams to pursue ground-breaking, high-gain/ high-risk research.

Future and Emerging Technologies: to extend Europe's capacity for advanced and paradigm-changing innovation. Radically new, high-risk ideas and accelerate development of the most promising emerging areas of science and technologies.

Azioni **Marie Skłodowska-Curie** to attract and support the researchers and their mobility

Research Infrastructure appropriate, cheaper and widespread in Europe

Struttura del programma

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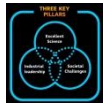
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Spreading Excellence and Widening Participation

Science with and for society

Joint Research Center (JRC)

Industrial leadership

- ***Leadership in enabling and industrial technologies***
  Emphasising key technologies in areas such as advanced
  manufacturing, microelectronics, nanotechnology, biotechnology, ICT and space

Struttura del programma

Excellent Science

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Spreading Excellence and Widening Participation

Science with and for society

Joint Research Center (JRC)

The pillar of Societal Challenges supports R&I that target society and citizens (climate, environment, energy, transport, etc.). It supports the development of breakthrough solutions coming from multi-disciplinary collaborations, which include social sciences and humanities.

Rules of participation

Minimum Conditions:

▪For standard (cooperative) research projects:

➢3 participants from different Member States or associated countries

In addition, participants from any other country in the world can also be included

▪For actions aimed at individuals, like European Research Council or Marie Skłodowska-Curie:

➢1 researcher
➢1 host institution
➢1 project

HORIZON 2020: Open to the world

1. Projects can include international partners

2. Targeted opening

- In certain topics in calls for proposals, inclusion of international partners may be:
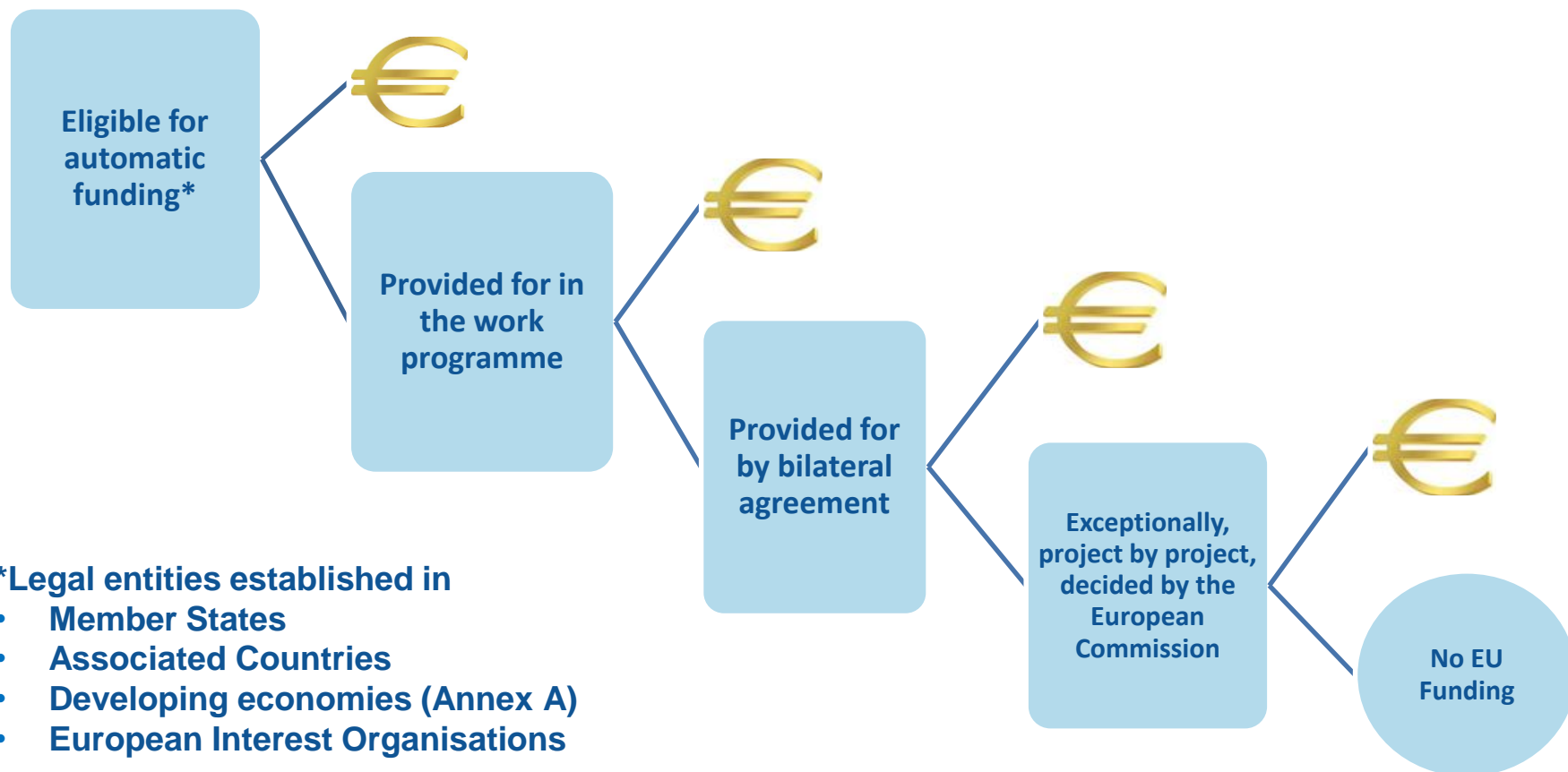
    a) encouraged
    or
    b) required

3. Coordinated calls

- Used for specific actions (e.g. identified through joint steering commites). Paired calls, linked evaluations, two contracts, e.g.:

    a) EU-Japan R&D Cooperation in Net Futures

    b) Partnering with Brazil on advanced biofuels

Eligibility for funding

Eligible for automatic funding*

Provided for in the work programme

Provided for by bilateral agreement

Exceptionally, project by project, decided by the European Commission

No EU Funding

*Legal entities established in
- **Member States**
- **Associated Countries**
- **Developing economies (Annex A)**
- **European Interest Organisations**

Types of action / funding rates

- **Research and Innovation Action (RIA):**

   Up to 100% of eligible costs

- **Innovation Action (IA):**

   Up to 70% of eligible costs

   (exception: up to 100% for non-profit organisations)

- **Coordination and Support Action (CSA):**

   Up to 100% of eligible costs

- **Pre-Commercial Procurement (PCP):**

   Governed by participation rules; EO-2-2016 max 90%

In all cases:

GRANTS = co-funding of the European Union

Admissibility criteria

1. **Submitted in the electronic submission system before the deadline** →
    Acknowledgement of Receipt

2. **Complete** (requested administrative forms + proposal description + supporting documents)

3. **Readable, accessible and printable**

4. **Respecting page limit** (RIA/IA: 70 pages; CSA:50 pages; PCP 90 pages)
    - Outside the limit:
        - **participating organisations**
        - **ethics self assessment, data management plan**

Eligibility criteria

1) Out of scope
2) Minimum participation and any other eligibility conditions depending on the type of action

Research & innovation action **Innovation action**	a. **Three legal entities.** b. Each of the three shall be established in **a different Member State or associated country**. c. All three legal entities shall be **independent of each other.**
Pre-commercial Procurements (PCP)	The same as RIA & IA (a, b & c) out of which there are **two independent legal entities** which are **public procurers** from two different Member States or associated countries.
Coordination & support action	**One legal entity** established in a Member State or associated country.

Countries eligible to receive funding

- **EU-Member States**

- **The Overseas Countries and Territories (OCT) linked to the MS**

- **Horizon 2020 associated countries**
  Funding Guide for up-to-date information (13 countries as of August 2015):
  http://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/international-cooperation_en.htm

    For **Switzerland** an agreement has been signed only for Excellent Science (i.e. ERC, FET, RI & Marie Curie actions), actions under specific objectives 'Spreading excellence and widening participation', ITER and EURATOM.

- **Third countries listed in General Annex A**

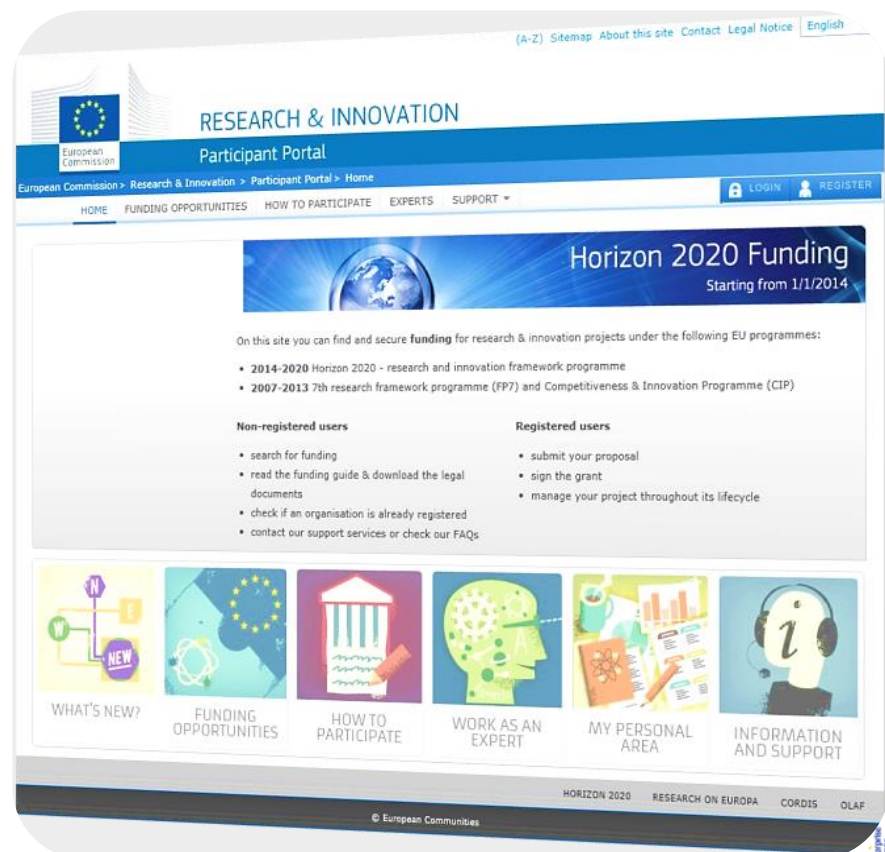- **International organisation of <u>European interest</u>**

Other Countries

Legal entities established in countries not listed in Annex A and international organisations will only be eligible for funding:

- If **explicitly mentioned in the call text**.

- When funding for such participants is provided for under a **bilateral scientific and technological agreement or any other arrangement** between the Union and an international organisation or a third country

- When the **Commission deems participation of an entity essential for carrying out the action funded through Horizon 2020**

Where to find more information and where to apply?

- **Horizon 2020 Participant Portal**
  Research and Innovation
  European Commission
  http://ec.europa.eu/research/participants/portal/desktop/en/home.html

- **Horizon 2020 European Commission**
  http://ec.europa.eu/programmes/horizon2020/

- **Incontact** (NCP's network)
  *http://*www.ncp-incontact.eu/nkswiki/index.php?title=Main_Page

Thank you for your attention!!

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